Extracting Generally Applicable Patterns from Object-Oriented Programs for the Purpose of Software Test Creation

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Abstract. This paper presents a case study performed on three large open source applications. The applications were instrumented automatically with a total of 10,494 instrumentation points. The purpose of the instrumentation was to collect and store data during the execution of each application which later could be analysed off-line. Data analysis, on the collected data, allowed for the creation of test cases (test data, test fixtures and test evaluators) in addition to finding object message patterns for object-oriented software.

1 Introduction

In order to automate software quality assurance to a high(er) extent, one needs to look at techniques that are suitable for this purpose. In this respect random testing [1] is a likely candidate for automation due to its nature where a minimum of human intervention is needed. Unfortunately, random testing of object-oriented software has not been researched widely (for an overview please see [2]). One of the reasons for this is surely the fact that random testing traditionally compares well to other techniques when it comes to dealing with scalar (pre-defined or primitive) types, while usually is seen to have weaknesses dealing with compound (programmer-defined or higher-level) types. Another reason might be that random testing, again traditionally, has been used on small and delimited examples...

The research contribution of this paper is to: i) Show how object message pattern analysis (from automatically instrumented applications) can be used to automatically create test cases. Test cases in this context equals test data, evaluators and fixtures. ii) Point to how random testing can be performed on these test cases. iii) Examine the possible existence of object message patterns in object-oriented software in addition to the question of general applicability of said patterns and, finally, iv) point out current possibilities for using instrumentation of software items as an underlying foundation to automated software testing.

Throughout this paper an empirically descriptive model is used, i.e. explaining a phenomenon sufficiently on 'real life' software. Next, related work

is presented. Following that, the setup of the experiment is presented (Sect. 2) while the results are covered in Sect. 3. Finally, this paper ends with conclusions (Sect. 4).

1.1 Related Work

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Related work for this paper can be divided mainly into four categories: random testing, type invariants, dynamic analysis and patterns in object-oriented software. First, random testing [1], which acts a basis for our approach, is a fairly old research area which has seen several new contributions lately which directly or indirectly affect this paper. Second, likely invariants has gained considerable attention lately following the work of Ernst et al. [3] in addition to [4]. The concept of likely invariants is built upon the assumption that one can find different input values, for testing a software item, by looking at the actual values the software uses during a test run. Our approach does not focus on likely invariants per see but rather class interactions (not component interfaces [5]) which in its turn provides the order of the methods being executed as well as method signatures and actual input and return values and types. Third, the concept of dynamic analysis, i.e. analysis of the software based on its execution, can in our approach best be described as a see-all-hear-all strategy. This way it resembles the omniscient debugger [6], which takes snapshots of every change in the state of the running application thus allowing the developer to move backwards and forwards when debugging the application. The difference in our approach is that every important piece of information during execution, is stored for later off-line analysis. Finally, an overview of object-oriented software testing, and especially patterns in this area, can be found in e.g. [7]. Important to note, in this circumstance, is that the word pattern (as used by almost all of the references) has in many ways a different meaning compared to how it is used in this paper.

In order to clarify the concept of patterns, we use the name *Object Message Patterns* for our purposes. *Object* stands for the fact that the focus is set on object-oriented software. *Message* is short for the message-driven perspective as employed by object-oriented software and finally, *patterns* stands for the execution traces as found when analysing software.

2 Experimental Setup

In this experiment the focus is set around testing intermediate representations of source code from open source software. Today, in industry, many would say that the centre of attention is mostly around the Java Virtual Machine and the Common Language Infrastructure (CLI) with its Common Language Runtime (both inheriting from the original ideas brought forward by the UCSD P-System's developers in the late 70's [8]).

The experiment conducted was performed on three different open source software items: Banshee (an open source media player), Beagle (an open source search tool) and the Mono C# compiler, Mcs (an open source implementation of the ECMA-334/335 standard). The selection of the software items was performed with the following in mind: i) The application should be written in a language which can be compiled to an intermediate representation (in this case the Common Intermediate Language). ii) The application should be sufficiently large and thus provide large amount of data for analysis. iii) The applications should be developed by separate development teams.

In the end, Banshee, Beagle and Mcs, were considered to fit the profile for the case study. For each application one common use case was selected to be executed after the application was instrumented (see Table 1):

- Banshee Start application, select media file, play media file, stop playback, shut down application.
- Beagle Start search daemon in console (background process), perform query in console, close the GUI which presents the search results, stop search daemon.
- Mcs Compile a traditional 'Hello World' application.

Table 1. An overview of the experiment showing the number of LOC (lines including comments, white spaces, declarations and macro calls), the size of the assemblies (in KB), the number of classes that were instrumented and the number of instrumentation points (IP), for each application. In addition the time to instrument (TTI) and execute (TTE) with and without instrumentation for each application is presented (in seconds).

App.	LOC	IL (KB)	# Classes	# IP	TTI	TTE w instr.	TTE w/o instr.
Banshee	53,038	609	414	2,770	28	424	63
Beagle	146,021	1,268	1,045	5,084	71	74	6
Mcs	56,196	816	585	2,640	166	34	0.8

After the selection of the candidate applications was accomplished the actual instrumentation took place. To begin with, each valid class in the testee (disregarding abstract, extern and interface annotated signatures) in every assembly (exe and dlls), had instructions inserted in each method which would collect runtime input and return value(s) and type(s), as well as the caller (i.e. what invoked the method). All this data, together with a time stamp, was then stored during runtime in an object database while the testee was executed following a valid use case. That is to say, each time a method was executed, during the execution of a use case, an object containing all the values necessary to recreate that state (with its connections), was stored in the object database, i.e. a form of deep copy was saved. Having to serialise the data beforehand would be too resource intensive for obvious reasons not to mention posing some difficulties from a technical perspective.

Next, the object database was used for an analysis of data looking for patterns and discovering likely critical regions. The selected paths could then be used for creating a test case (using the actual runtime values as test data and test evaluators). The execution of the use cases, as well as the instrumentation of the assemblies, was performed on Linux 2.6.15, Mac OS X 10.4.4 and Windows XP SP2 using Cecil 0.3 (open source assembly manipulator), AspectDNG 0.47 (open source tool to support aspect oriented programming) and the open source (in-memory) object database engine db4o 5.2.

3 Results

The intention of performing Object Message Pattern Analysis (OMPA) on data in this experiment is to find and generalise patterns which then can be used when testing the software item. In addition to this the hypothesis is that patterns, if found, could be generally applicable to most, if not all, object-oriented software. Since the analysis was performed manually a limitation on the number of analysed objects was needed. Thus, 300 objects (in a call sequence) from each application was analysed from an arbitrary point in the object database (selected by a pseudo-random generator as found on pp. 283–284 in [9]). In the end, eight object message patterns were found during the analysis of the data stored in the object database (Tables 2 and 3, respectively).

The patterns found are of two different categories. Four patterns belong to, what has by us been defined as object unit patterns. Object unit patterns constitutes of a sequence of method invocations on *one* object, i.e. methods in an object has been executed in a certain order. Object trace patterns, on the other hand, are slightly different. They cover the path of execution through *more than* one object.

Object Unit Patterns. Four object unit patterns were found during the OMPA (Table 2); these patterns exercised only one object consistently over time and were found in all three applications (needless to say, the names of the objects and classes differed in all three applications, but the pattern can nevertheless generally be applied on all three applications).

The first pattern, the Vault pattern (Table 2), is a straightforward pattern which is executed by first invoking a constructor, then invoking a setter and finally, multiple times, a getter (before a destructor is invoked). This can be seen as a very rudimentary pattern for storing data in an object which then is fetched by one or many objects, and as such is suitable to always execute in a testing scenario, i.e. data is stored in a simple vault. During the analysis the intermediate representation was used for examining if a method was defined as a getter or setter (property) by searching for the keyword .property. There is of course a possibility that a method is acting as getter or setter while not being defined as such, but in this analysis these types of methods are disregarded and an emphasise is put on the proper definition of a getter or setter according to the CIL.

Next, the Storage pattern is an evolved Vault pattern and the combinations of setter and getter invocations can be many (Table 2). Hence, the Storage pattern can be constructed in different ways and a combinatorial approach might be suitable in a testing scenario (compared to the Vault pattern which is very straightforward), i.e. data is stored in a storage and the storage has (many) different ways of adding or offering content. The reason for distinguishing between a Vault and a Storage is that a Vault was common during OMPA and as such should always be used when testing object-oriented software, while Storage, on the other hand, is a more complex pattern (more steps performed) and as such needs additional analysis.

The Worker pattern at first glance looks like bad design. An object gets instantiated, and immediately filled with data. A method is next invoked which manipulates the data, returns the manipulated data and, finally, a destructor is invoked. The reason for this design might be to make sure the method's implementation can be used by different objects (extended) since it is declared public. If one would have opted for a method declared as private or even protected, which could be invoked when the getter is invoked, then there would be no simple way to reuse the implementation.

Finally, the Cohesion pattern is a pattern which executes one or more methods in one object. It does this without a priori setting any values and the order of executing the methods is not always important, i.e. each and every method was found to be (by analysing objects in the object database) an atomic unit with no dependency on other methods in the class and as such the word cohesion (united whole) was found to be appropriate to use.

Table 2. Different object unit patterns found in all three applications. The first column shows the name selected for the pattern and the second column the actual pattern. Abbreviations used: ctor and ~ctor is short for constructor and destructor respectively, while setter and getter is a method which sets or gets data stored in the object.

Name	Pattern
Vault	$\operatorname{ctor} \to \operatorname{setter} \to 1 \dots n \text{ getter} \to \sim \operatorname{ctor}$
Storage	$\operatorname{ctor} \to \operatorname{setter} \to 1 \dots n \text{ getter} \to 1 \dots n \text{ setter} \to \dots \to \sim \operatorname{ctor}$
Worker	$\operatorname{ctor} \to \operatorname{setter} \to \operatorname{method\ invocation} \to \sim \operatorname{ctor}$
Cohesion	$\operatorname{ctor} \to 1 \dots n \text{ method invocation} \to \sim \operatorname{ctor}$

Object Trace Patterns. Looking at the object trace patterns, one can see four patterns that can be generally applicable (Table 3); these patterns exercise several objects and constitutes sequences of object:method invocations.

A fairly common pattern which seems to come up on a regular basis is the Cascading pattern. This pattern instantiates object after object (which can all be of different or same types). The approach seems to be quite common when

object-oriented applications are starting up, but in addition shows up in several phases of an application's life time (from start up to shutdown).

Next, the Storing pattern and the Fetching pattern showed up many times as well. These patterns are directly connected to the object unit Storage and Vault patterns, and as such can be combined in many ways.

The final pattern which has been named is the Dispatch pattern. The Dispatch pattern simply invokes one method (not a constructor though) after another. In most cases the Dispatch patterns ends up with executing the Storing or Fetching pattern as a final step.

Table 3. Different object trace patterns found in all three applications. Abbreviations used: ctor and ~ctor is short for constructor and destructor respectively, while setter and getter is a method which sets or gets data stored in the object. An alphabetical character in front of the abbreviation, i.e. A:ctor, indicates that a type A object's constructor is invoked.

Name	Pattern		
Cascading	$A:ctor \rightarrow B:ctor \rightarrow C:ctor \rightarrow \dots$		
Storing	$A:ctor \rightarrow B:ctor; A:method \rightarrow B:setter$		
Fetching	$A:method \rightarrow B:getter$		
Dispatch	$A:method \rightarrow B:method \rightarrow C:method \rightarrow \dots$		

3.1 Test Case Creation

Applying test case creation on the example (and on data in the experiment) is fairly straightforward when all entities needed can be found in the object database, i.e. the following data is available: method's name, input type and values, and return type(s) and value(s).

In addition information regarding the caller can be extracted from the object database by simply examining the caller value in the current method being executed (a value stored in the database) or by examining the time stamp for each stored entity in the database.

The above information provide us with an opportunity to automatically create test cases and, in the end, provide us with a simple smoke test or regression test mechanism, depending on the aim of our testing efforts. In short, the software item is executed, test cases are created from the database, patterns are extracted from the database and, in the end, the testee is validated.

4 Conclusions

This paper presented eight software object message patterns, for testing objectoriented software. As such it indicates, in our opinion, that the first steps have been taken on the road to extract generally applicable object message patterns for the purpose of testing object-oriented software. In this case study, the patterns are accompanied with automatically generated test cases whose entities (test data, test fixture and test oracle) are retrieved from a database which stores runtime values that are collected when executing a use case on the testee.

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